



International Business Machines Corporation

Endicott, New York 13760-5553  
607/755-0123  
Direct Dialing No.: (607) 429-5280

February 27, 2006

Attn: Latrice Sims  
US Patent and Trademark Office  
Office of Finance  
Alexandria, VA 22313

Dear Ms. Sims:

Our Deposit Account 09-0468 (IBM Yorktown) was incorrectly charged for the following item:

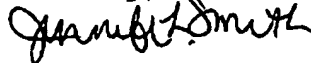
1. 10/065802 \$1590.00- Fee Code 1254- The Amendment was filed in the Statutory period and no Extension of Time fee should of been charged.

Please find attached the first 2 pages of the Office Action and the first page of the faxed Amendment. I have also included a copy of the July 2005 Deposit Account statement and have marked where we were charged for the Extension of Time.

Please credit Deposit Account 09-0468 for \$1590.00.

Thank you for your assistance. Please contact me if you need anything further.

Sincerely,

  
Jennifer L. Smith

/jls  
Encl.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/065,802	11/20/2002	Mark Trapp	YOR920020159US1	6567

877 7590 12/14/2004  
IBM CORPORATION, T.J. WATSON RESEARCH CENTER  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

EXAMINER  
BAUM, RONALD

ART UNIT 2136 PAPER NUMBER

DATE MAILED: 12/14/2004

B

*Due 3-14-05* *55P 6 months*  
*Due 6/14/05*

Please find below and/or attached an Office communication concerning this application or proceeding.

SEARCHED  
SERIALIZED  
INDEXED  
MAY 14 2005  
FBI

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Office Action Summary

Application No.

10/065,802

Applicant(s)

TRAPP ET AL.

Examiner

Ronald Braun

Art Unit

2130

- The MAILING DATE of this communication appears on the cover sheet with the correspondence address -  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 6 MONTH(S) FROM  
THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1)  Responsive to communication(s) filed on 11/23/2004.
- 2a)  This action is FINAL.
- 2b)  This action is non-final.
- 3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4)  Claim(s) 1-68 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5)  Claim(s) \_\_\_\_\_ is/are allowed.
- 6)  Claim(s) 1-68 is/are rejected.
- 7)  Claim(s) \_\_\_\_\_ is/are objected to.
- 8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

Application Papers

- 9)  The specification is objected to by the Examiner.
- 10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a)  All b)  Some \* c)  None of:
  - 1.  Certified copies of the priority documents have been received.
  - 2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1)  Notice of References Cited (PTO-892)
- 2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3)  Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 2, 10.
- 4)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 5)  Notice of Informal Patent Application (PTO-152)
- 6)  Other: \_\_\_\_\_.

U.S. Patent and Trademark Office  
PTOL-326 (Rev. 1-04)

Office Action Summary

Part of Paper No./Mail Date 13

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FEB 27 '05 10:31 FR IBM ENDICOTT IPLAW 6074294119 TO 915712736500 P.05/07

JUN 14 '05 11:08 FR IBM 9149453281 TO 917038729306 P.01

Appl. No. 10/085,802  
Response to the outstanding 6 month SSP Office Action Dated Dec. 14, 2004

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**JUN 14 2005**

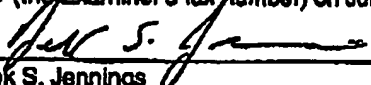
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of Applicants: Date: June 14, 2005  
Trapp et al. Group Art Unit: 2136  
Serial No.: 10/085,802 Examiner: Ronald Baum  
Filed: November 20, 2002 Docket No.: YOR920020159US1  
For: **METHOD AND APPARATUS FOR SECURE PROCESSING OF SENSITIVE DATA**

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this paper (23 pages, listing of claims - response) is being facsimile transmitted under Rule 37 CFR 1.6(d) to the U.S. Patent and Trademark Office to (703) 872 - 9306 (the official fax number) and to (571) 273 - 3861 (the Examiner's fax number) on June 14, 2005.

  
Derek S. Jennings  
Registered Patent Agent / Senior Patent Agent  
Reg. No. 41,473  
Fax: 914-945-3281

**RESPONSE TO THE OUTSTANDING OFFICE ACTION**

Sir:  
Please find a current listing of claims for the above-identified application as follows:

Current listing of claims are reflected in the listing of claims, which begins on page 2 of this paper.

Page 1 of 23

PAGE 1/23 \* RCVD AT 6/14/2005 10:43:27 AM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-13 \* DNS:1729306 \* CSID:9149453281 \* DURATION (mm-ss):07-04

PAGE 5/7 \* RCVD AT 2/27/2006 10:09:21 AM [Eastern Standard Time] \* SVR:USPTO-EFXRF-3/21 \* DNS:2736500 \* CSID:6074294119 \* DURATION (mm-ss):03-28

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Deposit Account Statement



**United States  
Patent and  
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USPTO  
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**Deposit Account Statement**

Requested Statement Month: July 2005  
 Deposit Account Number: 090468  
 Name: IBM CORPORATION  
 Attention: IPLAW DEPT. 39-223  
 Address: P.O. BOX 218  
 City: YORKTOWN HEIGHTS  
 State: NY  
 Zip: 10598  
 Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
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Deposit Account Statement

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07/18 20	11181324	IL920050030US1	1011	\$300.00	\$12,696.89
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07/18 22	11181324	IL920050030US1	1311	\$200.00	\$11,996.89

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**Method of Refund:**

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Patent/TM/App/Serial # 10,065,802

Program Area TeekCenter 2136

Date Processed 03-07-06

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Facsimile Transmittal

**IBM**  
**ENDICOTT IP LAW**

Intellectual Property Law  
1701 North Street, IQ0A/40-3  
Endicott, New York 13760  
Fax Tie Line: 8-620-4119  
Direct Fax: (607) 429-4119  
Direct Phone: (607) 429-5281

Date: 2-27-06  
Total Pages: 7 (Including this one)

- IBM CONFIDENTIAL
- PREPARED BY AN IBM ATTORNEY
- PRIVILEGED REVIEW REQUIRED
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To: Latrice Sims  
Fax Number: 571-273-6500

From: Jennifer Smith  
Direct Phone: 607-429-5280

Subject: INCORRECT CHARGES

Our File: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
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JUN 14 2005

Appl. No. 10/065,802  
Response to the outstanding 6 month SSP Office Action Dated Dec. 14, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

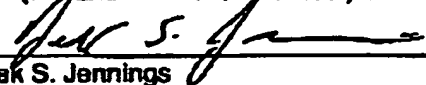
In re Application of Applicants:	Date: June 14, 2005
Trapp et al.	Group Art Unit: 2136
Serial No.: 10/065,802	Examiner: Ronald Baum
Filed: November 20, 2002	Docket No.: YOR920020159US1

For: **METHOD AND APPARATUS FOR SECURE PROCESSING OF SENSITIVE DATA**

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (23 pages, listing of claims - response) is being facsimile transmitted under Rule 37 CFR 1.6(d) to the U.S. Patent and Trademark Office to (703) 872 - 9306 (the official fax number) and to (571) 273 - 3861 (the Examiner's fax number) on June 14, 2005.

  
 \_\_\_\_\_  
 Derek S. Jennings  
 Registered Patent Agent / Senior Patent Agent

Reg. No. 41473  
Fax: 914-946-3281

07/13/2005 EMWARREN 00000003 090466 10065802  
01 FC:1254 1590.00 CR

RESPONSE TO THE OUTSTANDING OFFICE ACTION

Sir:  
Please find a current listing of claims for the above-identified application as follows:

Current listing of claims are reflected in the listing of claims, which begins on page 2 of this paper.

10065802  
 SEELEKE1  
 Adjustment date: 03/16/2006  
 07/13/2005 EMWARREN 00000003 090466  
 01 FC:1254 1590.00 CR